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**Policy Number:** 500.301  
**Title:** Behavioral Health Organization and Services  
**Effective Date:** 12/3/19

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**PURPOSE:** To outline department behavioral health services organization, goals, and services.

**APPLICABILITY:** All behavioral health services

**DEFINITIONS:** None

**PROCEDURES:**

A. Organization

1. The behavioral health director, under the supervision of the health services director, is responsible for the overall design, implementation, and management of behavioral health services.
2. Facility mental health directors are licensed behavioral health professionals and manage behavioral health services at each facility. Mental health directors report to their assigned associate behavioral health directors for intra-departmental administrative and clinical issues, and to the facility associate warden of operations for facility-related issues.
3. Behavioral health services are managed through:
  - a) Periodic meetings of the facilities' psychological services directors, conducted by the department behavioral health director;
  - b) Periodic meetings that include discussions of correctional behavioral health data on a quarterly basis;
  - c) Periodic review of services;
  - d) The supervisory chain of command;
  - e) Written directives and program guidelines; and
  - f) Mental health directors' meetings that are held on a monthly basis. Minutes of these meetings are published on the behavioral health iShare page.

B. Goals

The goals of the department's behavioral health services are:

1. To ensure public, employee, and offender safety;
2. To provide an efficient and cost-effective range of behavioral health services, including elective and preventative services within available resources; and
3. To adhere to departmental policy and accepted professional standards of practice.

C. Services Provided

1. Behavioral health staff provide the following services:
  - a) Crisis management;
  - b) Suicide prevention;
  - c) Clinical assessment;

- d) Forensic assessment;
  - e) Psychotherapy;
  - f) Referrals for psychiatric care to contracted psychiatrists, nurse practitioners, and physician's assistants and
  - g) Release planning services for offenders with "serious and persistent mental illness (SPMI)," as defined in Minnesota Statutes § 245.462, subd. 20(c), within 120 days of the offender's scheduled release from confinement.
2. Services are available to offenders/residents through self-referral or staff referral.
  3. Behavioral health levels of services:
    - a) Level 1 – Referral to self-help groups;
    - b) Level 2 – Outpatient services;
    - c) Level 3 – Supportive living services; and
    - d) Level 4 – Residential acute care, including referral to non-department treatment facilities when appropriate.
  4. Psychiatric services
    - a) The department behavioral health director must monitor the contract(s) for psychiatric services. All psychiatric providers are contract providers.
    - b) The facility mental health directors provide psychiatric service management. A contracted director of psychiatric services provides clinical supervision of psychiatric providers. The behavioral health director or designee consults with the contractor's chief psychiatrist on a regular basis.
  5. Consultation on behavioral health issues to department staff within resources, including:
    - a) Facility management teams;
    - b) Critical incident stress debriefing program; and
    - c) Crisis/hostage negotiating teams.
  6. Training activities within resources, including:
    - a) Department personnel training on mental health issues; and
    - b) Serving as an undergraduate, graduate, and post-graduate training site for interns and practicum students.

Staff training is documented and retained in the agency-approved electronic training management system.
  7. Correctional behavioral health issues research studies  
Correctional behavioral health data is collected and analyzed on a quarterly basis and is published every two years in the DOC performance report.

**INTERNAL CONTROLS:**

- A. Minutes from monthly mental health directors' meetings are published on the behavioral health iShare page.
- B. Staff training is documented and retained in the agency-approved electronic training management system.

C. Correctional behavioral health data is published every two years in the DOC performance report.

**ACA STANDARDS:** 4-4368, 4-4369, 1-ABC-4E-11, 1-ABC-4E-12

**REFERENCES:** Minn. Stat. §§ [241.69](#); [244.03](#); [241.021, subd 4](#); and [245.462, subd. 20](#)

**REPLACES:** Policy 500.301, "Behavioral Health Organization and Services," 11/19/18.  
All facility policies, memos, or other communications whether verbal, written, or transmitted by electronic means regarding this topic.

**ATTACHMENTS:** None

**APPROVED BY:**

Deputy Commissioner, Community Services

Deputy Commissioner, Facility Services

Assistant Commissioner, Operations Support

Assistant Commissioner, Facility Services